

# Penalties and fines for offences

## Fixed penalties

**Offence:**

Failure to comply with regulations about quality and safety (see Regulation 22 of the Health and Social Care Act 2008 (Regulated Activities) Regulations 2014)

**Penalty:**

Provider £4,000

Registered manager £2,000

**Offence:**

Carrying on a regulated activity without being registered

**Penalty:**

£4,000

**Offence:**

Failure to comply with conditions of registration

**Penalty:**

Provider £4,000

Registered manager £2,000

**Offence:**

Carrying on a regulated activity while registration is suspended

**Penalty:**

£4,000

**Offence:**

Managing a regulated activity while registration is cancelled or suspended

**Penalty:**

£2,000

**Offence:**

Failure to provide an updated statement of purpose (see Regulation 12 of the Care Quality Commission (Registration) Regulations 2009)

**Penalty:**

Provider £1,250

Registered manager £625

**Offence:**

Failure to make required notifications (see Regulations 14-18 of the Care Quality Commission (Registration) Regulations 2009)

**Penalty:**

Provider £1,250

Registered manager £625

**Offence:**

Failure to provide a statement about fees chargeable (see Regulation 19 of the Care Quality Commission (Registration) Regulations 2009)

**Penalty:**

Provider £1,250

Registered manager £625

**Offence:**

Failure to observe certain requirements relating to termination of pregnancy (see Regulation 20 of the Care Quality Commission (Registration) Regulations 2009)

**Penalty:**

Provider £1,250

Registered manager £625

**Offence:**

Obstructing entry and inspection

**Penalty:**

£300

**Offence:**

Failure to provide documents or information

**Penalty:**

£300

**Offence:**

Failure to provide an explanation of any relevant matter

**Penalty:**

£300

## Prosecution

The Legal Aid, Sentencing and Punishment of Offenders Act 2012 and regulations made under that Act have made changes to the maximum penalties outlined in the following list. The maximum limit on fines previously imposed on summary conviction for amounts of £5,000 or more has been removed.

**Offence:**

Failure to comply with regulations about quality and safety (see Regulation 22 of the Health and Social Care Act 2008 (Regulated Activities) Regulations 2014)

**Maximum fine:**

Unlimited

**Offence:**

Carrying on an activity without being registered

**Maximum fine:**

Unlimited or 12 months

**Offence:**

Failure to comply with conditions of registration

**Maximum fine:**

Unlimited

**Offence:**

Offences relating to suspension or cancellation

**Maximum fine:**

Unlimited

**Offence:**

False descriptions of concerns

**Maximum fine:**

Unlimited

**Offence:**

False statements in applications

**Maximum fine:**

£2,500

**Offence:**

Obstructing entry and inspection

**Maximum fine:**

£2,500

**Offence:**

Failure to provide documents or information

**Maximum fine:**

£2,500

**Offence:**

Failure to provide an explanation of any related matter

**Maximum fine:**

£2,500

**Offence:**

Failure to display performance assessment (see Regulation 20A of the Health and Social Care Act 2008 (Regulated Activities) Regulations 2014)

**Maximum fine:**

£100

Note: some lesser requirements have a maximum court fine of £2,500.